

Please Direct All Correspondence to Customer Number 20995

AMENDMENT / RESPONSE TRANSMITTAL

Applicant : James E. Malackowski, et al.
 App. No : 09/814,547
 Filed : March 22, 2001
 For : SYSTEM FOR AND METHOD OF
 RISK MINIMIZATION AND
 ENHANCED RETURNS IN AN
 INTELLECTUAL CAPITAL BASED
 VENTURE INVESTMENT
 Examiner : D. Kesack
 Art Unit : 3624

**CERTIFICATE OF EFS WEB
 TRANSMISSION**

I hereby certify that this correspondence, and
 any other attachment noted on the automated
 Acknowledgement Receipt, is being transmitted
 from within the Pacific Time zone to the
 Commissioner for Patents via the EFS Web
 server on:

6/21/2007

(Date)

Thomas Y. Yee Reg. No. 57,013

Mail Stop Amendment
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing in the above-identified application are the following enclosures:

(X) Amendment and Response to Office Action in 11 pages.

The fee has been calculated as shown below:

The present application qualifies for Small Entity Status under 37 CFR 1.27.

FEE CALCULATION				
FEE TYPE		FEE CODE	CALCULATION	TOTAL
Excess Claims	8 - 22 = 0	2202 (\$25)	0 x 25 =	\$0
Excess Independent	2 - 3 = 0	2201 (\$100)	0 x 100 =	\$0
Multiple Claim	1.16(j)	2203 (\$180)		\$0
1 Month Extension	1.17(a)(1)	2251 (\$60)		\$0
2 Month Extension	1.17(a)(2)	2252 (\$225)		\$
3 Month Extension	1.17(a)(3)	2253 (\$510)		\$
Information Disclosure Statement Fee	1.17(p)	1806 (\$180)		\$
				TOTAL FEE DUE
				\$0

Please Direct All Correspondence to Customer Number 20995

(X) Calculated Fees will be paid via EFS Web.

Extension of time is requested by payment of any extension fee.

Please charge any additional fees, including any fees for additional extension of time, or credit overpayment to Deposit Account No. 11-1410.



Thomas Yee
Registration No. 57,013
Attorney of Record
Customer No. 20,995
(949) 760-0404

PAT-AMENDTRANS

3910858
062107